

Annex A - Open Complaints Log

Case ref	City or Parish	Complainant	Date Received	Nature of Complaint	MO consulted with Chair & Vice Chair (assessment stage)	Status	Updates	If progressing to hearing, date consulted Chair & Vice Chair and comments
2021/17	CYC	Parish	23/10/21	The complaint is in relation to matters at a Parish Council and the complainant alleges the Cllrs behaviour is not in line with the code of conduct.		Closed. Hearing Sub-Committee took place on 2 nd September 2022. Part of the complaint, the alleging failure to treat others with respect, was upheld in respect of the first subject member. No sanction was imposed. The complaints against the second subject member were not upheld. The decision notices were published online.	<p>This complaint is currently being assessed.</p> <p>Assessment Sub-Committee being convened, await outcome.</p> <p>Assessment sub-committee determined that the complaint should progress to an investigation and external investigator appointed.</p> <p>Investigation with external investigator progressing.</p> <p>Final report received from the external investigating officer. Assessment Sub-Committee to be reconvened to discuss findings.</p> <p>Assessment Sub-Committee referred the 2 remaining aspects of this complaint on to a Hearing.</p>	

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							Hearing Sub-Committee took place on 2 nd September 2022.	
2021/17	CYC	Parish	23/10/21	This complaint formed 1 part of the complaint above.		Closed. Assessment Sub-Committee Panel accepted the findings of the investigating officer's report - no breach found for this particular aspect.	Closed. Assessment Sub-Committee Panel accepted the findings of the investigating officer's report - no breach found for this particular aspect.	
2021/20	CYC	York resident	16/12/21	The complainant alleges the Councillor's comments at a Planning Committee meeting breach the Member Code of Conduct.	Chair and Vice Chair consulted 17/12/21. Referred to assessment sub-committee.	Closed. Assessment Sub-Committee Panel accepted the findings of the investigating officer's report - no breach found.	This complaint is currently being assessed. Views of the IP sought. Assessment Sub-Committee being convened, await outcome. Assessment sub-committee determined that the complaint should progress to an	

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							<p>investigation and external investigator to be appointed.</p> <p>External investigator appointed – parties notified.</p> <p>Investigation with external investigator progressing.</p> <p>Final report received from the external investigating officer. Assessment Sub-Committee to be reconvened to discuss findings.</p> <p>Closed. Assessment Sub-Committee Panel accepted the findings of the investigating officer’s report - no breach found.</p>	
2022/07	Parish	Parish	13/04/22	The complainant alleges the Parish Councillor breached the code of conduct by not declaring a Personal Interest at a	Chair and Vice Chair consulted 22/04/22	Closed. Report received from the investigating officer. Breach found,	<p>This complaint is being assessed by the Deputy Monitoring Officer.</p> <p>Views of the IP sought.</p>	

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				Parish Council meeting and did not leave the room when the agenda item was discussed.		recommendation to seek informal resolution by way of training. Parties notified.	Complaint assessed and will progress to investigation. Report received from the investigating officer. Breach found, recommendation to seek informal resolution by way of training. Parties notified.	
2022/13	Parish	Parish	29/04/22	The complainant alleges the Parish Councillor has routinely refused to comply with the Parish Council's Code of Conduct at multiple Parish Council meetings.	Chair and Vice Chair consulted 13/05/22	Complaint is currently being investigated.	This complaint is currently being assessed by the Deputy Monitoring Officer. Views of the IP sought. Complaint assessed and will progress to investigation. Parties notified.	
2022/14	Parish	Parish	29/04/22	The complainant alleges the Parish Councillor did not declare an interest with regards to a Motion at a Parish Council	Chair and Vice Chair consulted 13/05/22	Complaint is currently being investigated.	This complaint is currently being assessed by the Deputy Monitoring Officer. Views of the IP sought.	

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				meeting and did voted in favour of the Motion.			Complaint assessed and will progress to investigation. Parties notified.	
2022/15	Parish	Parish	16/05/22	The complainant alleges the Parish Councillor breached the code of conduct by not declaring an interest at a Parish Council meeting and did not leave the room when the agenda item was discussed and voted on.		Closed. Following the conclusion of an investigation on a similar matter at the same Parish Council, DMO proposed to seek informal resolution by way of training. Parties notified.	This complaint is being assessed by the Deputy Monitoring Officer. Views of the IP sought. Following the conclusion of an investigation on a similar matter at the same Parish Council, DMO proposed to seek informal resolution by way of training. Parties notified.	
2022/16	CYC	CYC	23/05/22	The complainant alleges the Cllr breached the code of conduct in a comment made online. The complainant alleges the comment breached the	Chair and Vice Chair consulted 25/05/22	Complaint assessed and will progress to investigation.	This complaint is being assessed by the Monitoring Officer. Views of the IP sought.	

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				code and brings the Local Authority into disrepute.			Complaint assessed and will progress to investigation. Parties notified.	
2022/17	CYC	CYC	23/05/22	The complainant alleges the Cllr breached the code of conduct in a comment made online. The complainant alleges the comment breached sections 5.1 and 6 of the code.	Chair and Vice Chair consulted 25/05/22	Complaint assessed and will progress to investigation.	This complaint is being assessed by the Monitoring Officer. Views of the IP sought. Complaint assessed and will progress to investigation. Parties notified.	
2022/18	CYC	Resident	12/08/22	This complaint is as a result of ongoing matters at the Parish Council. The complainant alleges the Cllrs behaviour is not in line with the code of conduct.	Chair and Vice Chair consulted 30/08/22	Complaint is currently being investigated.	This complaint is being assessed by the Deputy Monitoring Officer. Views of the IP sought. Complaint assessed and will progress to investigation. Parties notified.	

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2022/19	CYC	Parish	06/09/22	Complainant alleges the Cllr sought to improperly confer an advantage on a person (constituting a breach within paragraph 3.1 of the parish Code and also paragraph 3(8) of the CYC Code of Conduct) by making inappropriate threats to support political ambitions.	Chair and Vice Chair consulted on 13/09/22	Closed. Complaint did not progress to investigation.	<p>This complaint is being assessed by the Deputy Monitoring Officer.</p> <p>Views of the IP sought.</p> <p>Closed – the complaint was assessed and the views of JSC Chairs and an Independent Person were sought. Complaint did not progress to an investigation and the complaint was dismissed. Decision notice sent to parties.</p>	
2022/20	CYC	Resident	07/09/22	Complainant alleges the Cllr improperly sought to take advantage of his position and did not declare an interest at a committee meeting.	Vice Chair consulted on 13/09/22	Closed. Having heard the advice of the Independent Person the Assessment Sub-Committee agreed that whilst the complaint did contain sufficient	<p>This complaint is being assessed by the Deputy Monitoring Officer.</p> <p>Views of the IP sought.</p> <p>Assessment Sub-Committee convened 19/10/22 to determine outcome of assessment.</p>	

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						evidence to merit consideration by the committee, their assessment was that the evidence did not demonstrate a potential breach of the Code.	Closed. Having heard the advice of the Independent Person the Assessment Sub-Committee agreed that whilst the complaint did contain sufficient evidence to merit consideration by the committee, their assessment was that the evidence did not demonstrate a potential breach of the Code. Decision notice sent to parties.	
2022/21	CYC	Resident	07/09/22	Complainant alleges the Cllr failed to cite relevant case law when responding to a member of the public and commenting in the media on a planning matter, and that this amounted to bringing office into disrepute.	Chair and Vice Chair consulted on 21/09/22	Closed. Complaint did not progress to investigation.	This complaint is being assessed by the Deputy Monitoring Officer. Views of the IP sought. Closed – the complaint was assessed and the views of JSC Chairs and an Independent Person were sought. Complaint did not progress to an investigation and the	

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							complaint was dismissed. Decision notice sent to parties.	
2022/22	City	Resident	01/11/2022	The complainant alleges that the Councillor breached the Code of Conduct by refusing to meet with him and misrepresenting what limitations were placed on them in relation to a planning matter.	N/A	Closed. Complaint did not progress to investigation.	Closed – the complaint was assessed. The MO reached the conclusion that the complaint did not demonstrate a breach of the code and therefore will not progress to an investigation. Decision notice sent to parties.	